

CALIFORNIA CODE OF REGULATIONS

TITLE 25. Housing And Community Development

Division 2. California Housing Finance Agency

Chapter 1. General

Article 1. Conflict-of-Interest Code

§10001. General Provisions.

§10001. General Provisions.

The Political Reform Act, Government Code section 81000, *et seq.*, requires state and local government agencies to adopt and promulgate Conflict-of-Interest Codes. The Fair Political Practices Commission has adopted a regulation, 2 California Code of Regulations section 18730, that contains the terms of a standard Conflict-of-Interest Code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the Conflict-of-Interest Code of the **California Housing Finance Agency (the Agency)**..

Board Members (including Alternates, Designees, and Ex-Officio members other than the State Treasurer) and the Executive Director must file their statement of economic interests electronically with the Fair Political Practices Commission. All other individuals holding designated positions must file their statements with the Agency. All statements must be made available for public inspection and reproduction under Government Code Section 81008.

Note: Authority cited: Sections 87300 and 87304, Government Code. Reference: Sections 87300, *et seq.*, Government Code.

**Conflict-of-Interest Code of the
California Housing Finance Agency**

Appendices

*Appendix A
Designated
Employees*

*Assigned
Disclosure
Category*

*Persons holding the following positions
and/or the following classifications are
“designated employees”:*

Board of Directors

Board Member (All members of the Board, Alternates, Designees, and Ex-Officio
Members, other than the State Treasurer) 1, 2, 3, 4

Executive

Executive Director 1, 2, 3, 4
Chief Deputy Director 1, 2, 3, 4
Director of Legislation 1, 2, 3, 4
Director of Business Development and Stakeholder Relations 1, 2, 3, 4

Administration

Director of Administration 1, 2, 3, 4
Staff Services Manager (all classes and all levels) 1, 2, 3, 4

Information Technology

Chief Information Officer 1, 2, 3, 4
Information Technology Manager (all levels) 3, 4
Information Technology Supervisor (all levels) 3, 4
Information Technology Specialist (all levels) 3, 4

Marketing

Director of Marketing 1, 2, 3, 4
Information Officer (all levels) 3, 4
Information Technology Specialist (all levels) 3, 4

Legal

General Counsel 1, 2, 3, 4
Attorney, Assistant Chief Counsel 1, 2, 3, 4
Attorney (all classes and all levels) 1, 2, 3, 4

Financing

Director of Financing 1, 2, 3, 4
Credit Officer (CEA) 1, 2, 4
Risk Manager 1, 2, 4
Financing Officer 1, 2, 4
Research Data Analyst (all levels) 3, 4

Fiscal Services

Comptroller	1, 2, 3, 4
Deputy Comptroller	2, 3, 4
Financial Accountant (all levels)	3, 4
Accounting Administrator II	3, 4

Single Family

Director of Homeownership Program-.	1, 2, 3, 4
Housing Finance Chief (all classes)	1, 2, 4
Housing Finance Officer (all classes)	1, 2, 4
Staff Services Manager (all classes and all levels)	1, 2, 4
Housing Finance Specialist (all classes).	1, 2, 4
Information Technology Associate	4

Multifamily Programs

Director of Multifamily Programs	1, 2, 3, 4
Deputy Director Multifamily Programs.	1, 2, 4
Housing Finance Chief (all classes)	1, 2, 4
Senior Housing Construction Inspector	1, 2, 4
Housing Construction Inspector.	1, 2, 4
Housing Finance Officer (all classes)	1, 2, 4
Staff Services Manager (all classes and all levels)	1, 2, 4
Housing Maintenance Inspector	1, 2, 4
Information Technology Associate	3, 4

Enterprise Risk Management and Compliance

Director of Enterprise Risk Management and Compliance	1, 2, 3, 4
Staff Services Manager (all classes and all levels)	3, 4
Information Technology Supervisor (all levels)	3, 4

Consultants

Consultants and New Positions	*
---	---

** Consultants and New Positions*

“Individuals providing services as a Consultant defined in Regulation 18700.3 or in a new position created since this Code was last approved that makes or participates in making decisions shall disclose pursuant to the broadest disclosure category in this Code subject to the following limitation: The General Counsel may determine that, due to the range of duties or contractual obligations, it is more appropriate to assign a limited disclosure requirement. A clear explanation of the duties and a statement of the extent of the disclosure requirements must be in a written document (Gov. Code Sec. 82019; FPPC Regulations 18219 and 18734). The General Counsel’s determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict-of-Interest Code (Gov. Code Sec. 81008).”

*Appendix B
Disclosure Categories*

Category 1 – Interests in Real Property

Designated employees in Category 1 must report:
All interests in real property in the State of California.

Category 2 – Interests in Housing Sponsors, Contractors, and Professional Service Providers

Designated employees in Category 2 must report:
All investments, business positions and sources of income, including receipt of gifts, loans and travel payments, from sources that:

(A) Are any person or entity which is defined by part I, chapter 2, of the Zenovich-Moscone-Chacon Housing and Home Finance Act (chapter 2 commences at section 50050 of the California Health and Safety Code) to be any of the following:

- (1) "housing sponsor"
- (2) "limited-dividend housing sponsor"
- (3) "qualified mortgage lender"; or,

(B) Are any person or entity that:

- (1) contracts or otherwise does business with the Agency
- (2) has contracted or otherwise done business with the Agency within the previous two years
- (3) is soliciting a contract or other business from the Agency ; or,

(C) Are any of the following:

- (1) financial services company
- (2) bank, including commercial bank, mortgage bank, thrift, credit union, or similar lender
- (3) investment bank
- (4) real estate services company
- (5) brokerage company
- (6) insurance company
- (7) title company

- (8) escrow company
- (9) building or construction contractor or subcontractor; or,
- (10) law firm that represents persons or entities described in Categories 2, 3 or 4.

Category 3 – Interests in Facilities Contractors

Designated employees in Category 3 must report:

All investments and business positions in business entities, and income, including receipt of gifts, loans, and travel payments, from sources that are of the type that, within the previous two years, have contracted with the Agency to provide leased facilities, supplies, materials, machinery, equipment, or services, including training and consulting services, to the Agency, or have otherwise done-business with the Agency.

Category 4 – Interests in Information Technology Sector

Designated employees in Category 4 must report:

All investments and business positions in business entities, and income, including receipt of gifts, loans, and travel payments, from sources that manufacture, distribute, supply, or install computer hardware or software of the type utilized by the Agency, as well as entities providing computer consultant services to the Agency.