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BOARD OF DIRECTORS  
OF THE CALIFORNIA HOUSING FINANCE AGENCY

RESOLUTION 17-22

RESOLUTION APPROVING PROPOSED AMENDMENTS TO REGULATIONS

WHEREAS, the California Housing Finance Agency (the “Agency”) through its Board of Directors (the “Board”) is authorized to adopt and, where appropriate, to amend or repeal regulations; and

WHEREAS, the Board has determined that the proposed amendments to certain Agency regulations, as attached hereto, are necessary and appropriate for adoption by the Agency,

NOW, THEREFORE, BE IT RESOLVED by the Board of Directors as follows:

1. The attached amendments to the Agency’s regulations, incorporated herein by reference, concerning Title 25, Division 2, Chapter 1, Article 1, § 10001 of the California Code of Regulations (the Conflict-of-Interest Code of the Agency) are hereby approved.

2. The staff is directed to give public notice, conduct any required public hearing and take such other action as may be necessary or proper for the adoption by the Agency of such amended regulations including submission of such amendments to the Fair Political Practices Commission and the Office of Administrative Law. The staff is authorized to make non-material revisions to these amendments, without further Board approval, as may be appropriate in the course of promulgating these amendments.

1 SECRETARY'S CERTIFICATE

2  
3 I, Thomas O. Freeburger, the undersigned, do hereby certify that I am the duly  
4 authorized Secretary of the Board of Directors of the California Housing Finance Agency, and  
5 hereby further certify that the foregoing is a full, true, and correct copy of Resolution No. 17-22  
6 duly adopted at a regular meeting of the Board of Directors of the California Housing Finance  
7 Agency duly called and held on the 14th day of September 2017, at which meeting all said  
8 directors had due notice, a quorum was present and that at said meeting said resolution was  
9 adopted by the following vote:

10  
11 AYES: SCHAEFER (for CHIANG), FALK, GALLAGHER, GUNNING,  
12 HUNTER, IMBASCIANI, METCALF, HOFFMAN (for PODESTA)  
13 PRINCE, RUSSELL

14  
15 NOES: None

16  
17 ABSTENTIONS: None

18  
19 ABSENT: AVILA FARIAS, JOHNSON-HALL, SOTELO

20  
21 IN WITNESS WHEREOF, I have executed this certificate hereto this 18th  
22 day of September 2017.

23  
24  
25  
26 ATTEST:

  
THOMAS O. FREEBURGER  
Acting Secretary of the Board of Directors of the  
California Housing Finance Agency

# CALIFORNIA CODE OF REGULATIONS

## TITLE 25. Housing And Community Development

### Division 2. California Housing Finance Agency

#### Chapter 1. General

#### Article 1. Conflict-of-Interest Code

#### §10001. General Provisions.

#### §10001. General Provisions.

The Political Reform Act, Government Code sections 81000, *et seq.*, requires state and local government agencies to adopt and promulgate Conflict-of-interest Codes. The Fair Political Practices Commission has adopted a regulation, 2 California Code of Regulations section 18730, which contains the terms of a standard Conflict-of-interest Code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of 2 California Code of Regulations section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission, along with the attached Appendices in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the Conflict-of-Interest Code of the California Housing Finance Agency (the Agency).

Board Members and the Executive Director must file their statement of economic interests electronically with the Fair Political Practices Commission. All other individuals holding designated positions must file their statements with the Agency. All statements must be made available for public inspection and reproduction under Government Code Section 81008.

Note: Authority cited: Sections 87300 and 87304, Government Code. Reference: Sections 87300, *et seq.*, Government Code.

**Conflict-of-Interest Code of the  
California Housing Finance Agency**

**Appendices**

*Appendix A  
Designated  
Employees*

*Assigned  
Disclosure  
Category*

*Persons holding the following positions  
and/or the following classifications are  
"designated employees":*

*Board of Directors*

Board Member (All members of the Board, Alternates, Designees, and Ex-Officio  
Members, other than the State Treasurer) . . . . . 1, 2, 3, 4

*Executive*

Executive Director . . . . . 1, 2, 3, 4  
 Chief Deputy Director . . . . . 1, 2, 3, 4  
 Director of Legislation and CalHFA Mortgage Assistance Corporation . . . . . 1, 2, 3, 4  
 Director of Enterprise Risk Management . . . . . 1, 2, 3, 4  
 Director of Business Affairs and Governmental Relations . . . . . 1, 2, 3, 4

*Administration*

Director of Administration . . . . . 1, 2, 3, 4  
 Staff Services Manager (all classes and all levels) . . . . . 1, 2, 3, 4

*Information Technology*

Chief Information Officer . . . . . 2, 4  
 Systems Software Specialist III (Supervisory) . . . . . 4  
 Senior Programmer Analyst (Supervisor) . . . . . 4  
 Systems Software Specialist II (Technical) (Network Systems Administration) . . . . . 3, 4  
 Data Processing Manager II (Information Security Officer) . . . . . 4  
 Staff Information Systems Analyst (Specialist) (Network Systems Administration) . . . . . 3, 4  
 Associate Information Systems Analyst (Specialist) (Technical Support &  
 Procurement Administration) . . . . . 3, 4

*Marketing*

Director of Marketing . . . . . 2, 4

*Legal*

General Counsel . . . . . 1, 2, 3, 4  
 Assistant Chief Counsel . . . . . 1, 2, 3, 4  
 Attorney (all classes and all levels) . . . . . 1, 2, 3, 4

*Financing*

Director of Financing . . . . . 1, 2, 4  
 Risk Manager . . . . . 1, 2, 4  
 Accounting Administrator III . . . . . 1, 2, 4  
 Financing Officer . . . . . 1, 2, 4

Housing Finance Officer (all classes) .....	1, 2, 4
Financing Specialist .....	1, 2, 4
<i>Fiscal Services</i>	
Comptroller .....	2, 4
Deputy Comptroller .....	2, 4
Accounting Administrator II .....	3, 4
<i>Single Family</i>	
Director of Homeownership (Single Family Lending) .....	1, 2, 4
Deputy Director .....	1, 2
Housing Finance Chief (all classes) .....	1, 2, 4
Housing Finance Officer (all classes) .....	1, 2, 4
Housing Finance Specialist (all classes) .....	1, 2, 4
Staff Services Manager (all classes and all levels) .....	1, 2, 4
<i>Multifamily Programs</i>	
Director of Multifamily Programs .....	1, 2, 4
Credit Officer (CEA) .....	1, 2, 4
Deputy Director MF Programs .....	1, 2, 4
Housing Finance Chief (all classes) .....	1, 2, 4
Senior Housing Construction Inspector .....	1, 2, 4
Housing Construction Inspector .....	1, 2, 4
Housing Finance Officer (all classes) .....	1, 2, 4
Staff Services Manager (all classes and all levels) .....	1, 2, 4
Housing Maintenance Inspector .....	1, 2, 4
<i>Consultants</i>	
Consultants and New Positions .....	*

*\* Consultants and New Positions*

*"Individuals providing services as a Consultant defined in Regulation 18700.3 or in a new position created since this Code was last approved that makes or participates in making decisions shall disclose pursuant to the broadest disclosure category in this Code subject to the following limitation: The General Counsel may determine that, due to the range of duties or contractual obligations, it is more appropriate to assign a limited disclosure requirement. A clear explanation of the duties and a statement of the extent of the disclosure requirements must be in a written document (Gov. Code Sec. 82019; FPPC Regulations 18219 and 18734). The General Counsel's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict-of-Interest Code (Gov. Code Sec. 81008)."*

*Appendix B  
Disclosure Categories*

*Category 1 – Interests in Real Property*

Designated employees in Category 1 must report:  
All interests in real property in the State of California.

*Category 2 – Interests in Housing Sponsors, Contractors, and Professional Service Providers*

Designated employees in Category 2 must report:  
All investments, business positions and sources of income, including receipt of gifts, loans and travel payments, from sources that:

(A) Are any person or entity which is defined by part I, chapter 2, of the Zenovich-Moscone-Chacon Housing and Home Finance Act (chapter 2 commences at section 50050 of the California Health and Safety Code) to be any of the following:

- (1) "housing sponsor"
- (2) "limited-dividend housing sponsor"
- (3) "qualified mortgage lender"; or,

(B) Are any person or entity that:

- (1) contracts or otherwise does business with the Agency
- (2) has contracted or otherwise done business with the Agency within the previous two years
- (3) is soliciting a contract or other business from the Agency ; or,

(C) Are any of the following:

- (1) financial services company
- (2) bank, including commercial bank, mortgage bank, thrift, credit union, or similar lender
- (3) investment bank
- (4) real estate services company
- (5) brokerage company
- (6) insurance company
- (7) title company
- (8) escrow company

(9) building or construction contractor or subcontractor; or,

(10) law firm that represents persons or entities described in Categories 2, 3 or 4.

*Category 3 – Interests in Facilities Contractors*

Designated employees in Category 3 must report:

All investments and business positions in business entities, and income, including receipt of gifts, loans, and travel payments, from sources that are of the type that, within the previous two years, have contracted with the Agency to provide leased facilities, supplies, materials, machinery, equipment, or services, including training and consulting services, to the Agency, or have otherwise done-business with the Agency.

*Category 4 – Interests in Information Technology Sector*

Designated employees in Category 4 must report:

All investments and business positions in business entities, and income, including receipt of gifts, loans, and travel payments, from sources that manufacture, distribute, supply, or install computer hardware or software of the type utilized by the Agency, as well as entities providing computer consultant services to the Agency.